

PTO/SB/21 (02-04) (AW 02/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

DAC

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/431,201
	Filing Date	November 1, 1999
	First Named Inventor	Kazuo Satoh
	Art Unit	2834
	Examiner Name	Thomas M. Dougherty
Total Number of Pages in This Submission	Attorney Docket No.	YAO-4308US

**ENCLOSURES (Check all that apply)**

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under<br>37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input checked="" type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation,<br>Change of Correspondence<br>Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Group<br><br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply<br>Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below): Supplemental<br>IDS - 01/02/02; Return Receipt<br>Postcard - 01/22/02; Petition<br>under Section 1.181 - 12/30/03;<br>Office Communication -<br>04/27/04; Postcard |
|--|---|---|

Remarks:

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or Individual Name	Daniel N. Calder	Registration No. (Attorney/Agent)	27,424
Signature	<i>Daniel N. Calder</i>		
Date	April 29, 2004		

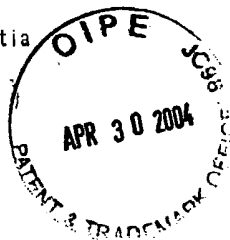
**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Typed or printed name	Lorraine C. Fox
Signature	<i>Lorraine C. Fox</i>
Date	April 29, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner, for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Appln. No.: 09/431,201

YAO-4308US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No.: 09/431,201  
Applicant: Kazuo Satoh, et al.  
Filed: November 1, 1999  
Title: PIEZOELECTRIC LOUDSPEAKER  
TC/A.U.: 2834  
Examiner: Thomas M. Dougherty  
Confirmation No.: 8392  
Docket No.: YAO-4308US

**PETITION UNDER 37 C.F.R. §1.181 FOR  
CONSIDERATION OF SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

Applicants filed a Supplemental Information Disclosure Statement (IDS) on January 2, 2002. A copy of the Supplemental IDS, as filed and a copy of the return postcard evidencing receipt of the IDS by the PTO is enclosed. This Supplemental IDS, although properly filed, has not been considered.

The Examiner is respectfully requested to consider the references enclosed with the originally filed Supplemental IDS and to initial and return the Form 1449 to the undersigned.

Appln. No.: 09/907,369



YAO-4010US2

It is respectfully requested that issuance of the above-identified application be suspended until the IDS has been considered.

Respectfully submitted,

RatnerPrestia

*Daniel N. Calder*

Daniel N. Calder, Reg. No. 27,424  
Attorney for Applicant

DNC/vj

Enclosures: Supplemental IDS and PTO-1449 as previously filed  
Copy of returned postcard

Dated: December 30, 2003

Suite 301  
One Westlakes, Berwyn  
P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

December 30, 2003

*Victor Jones*

VJ\_I:\YAO\4308US\IDSPETITION\_181.DOC

Appln: No. 09/431,201  
Response Dated April 29, 2004  
Reply to Office Action of April 27, 2004



YAO-4308US

RECEIVED

MAY 04 2004

OFFICE OF PETITIONS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/431,201  
Applicant: Kazue Satoh et al.  
Filed: November 1, 1999  
Title: PIEZOELECTRIC SPEAKER  
T.C./A.U.: 2834  
Examiner: Thomas M. Dougherty  
Confirmation No.: 8392  
Docket No.: YAO-4308US

**PETITION UNDER 37 C.F.R. 1.182 FOR CONSIDERATION OF  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants filed on December 30, 2003 a Petition Under 37 C.F.R. Section 1.181 for Consideration of Supplemental Information Disclosure Statement (copy attached). The Section 1.181 Petition was not properly adjudicated, which necessitates Applicants' filing of this Petition Under Section 1.182. Because the error of not properly adjudicating the Petition was the fault of the Patent Office, Applicants are not including any petition fee. However, if a petition fee is necessary, please charge our Account No. 18-0350.

In response to Applicants' Petition Under Section 1.181, the Examiner issued the attached communication stating that "The 1449 has not been considered as the case has already been allowed." However, the Supplemental Information Disclosure Statement (IDS) in question was filed on January 2, 2002 and was not considered by the Examiner. A copy of the Supplemental IDS, as filed, and a copy of the return postcard evidencing receipt of the IDS by the PTO is enclosed. This Supplemental IDS, although properly and timely filed, has not been considered.

It is respectfully requested that the Examiner consider the references enclosed with the originally filed Supplemental IDS and to initial and return the Form 1449 to the undersigned.

Appln: No. 09/431,201  
Response Dated April 29, 2004  
Reply to Office Action of April 27, 2004

YAO-4308US

It is respectfully requested that issuance of the above identified application be suspended until the IDS has been considered.

Respectfully submitted,



Daniel N. Calder, Reg. No. 27,424  
Attorney for Applicants

DNC/lcf

Attachments: Transmittal Form;  
Copy of Supplemental IDS filed January 2, 2002;  
Copy of Return Receipt Postcard receipt stamped January 22, 2002;  
Copy of Petition Under Section 1.181 dated December 30, 2003;  
Copy of Office Communication dated April 27, 2004

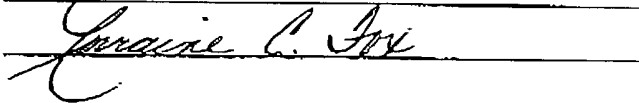
Dated: April 29, 2004

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

April 29, 2004



LCF\_1:\YAO\4308US\PETITION37CFR1.182.DOC